# Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/US04/023265

International filing date:

19 July 2004 (19.07.2004)

Document type:

Certified copy of priority document

Document details:

Country/Office: US

Number:

10/662,089

Filing date:

12 September 2003 (12.09.2003)

Date of receipt at the International Bureau:

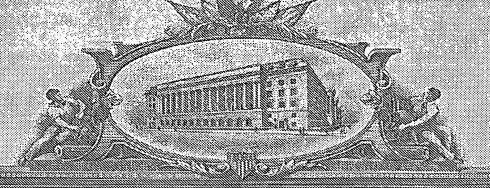
06 September 2004 (06.09.2004)

Remark:

Priority document submitted or transmitted to the International Bureau in

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August 30, 2004

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE.

APPLICATION NUMBER: 10/662,089
FILING DATE: September 12, 2003
RELATED PCT APPLICATION NUMBER: PCT/US04/23265

Certified by



Jon W Dudas

Acting Under Secretary of Commerce for Intellectual Property and Acting Director of the U.S. Patent and Trademark Office

#### UTILITY Attorney Docket No. 63032 **Total Pages** PATENT APPLICATION First Named Inventor or Application Identifier TRANSMITTAL Glass et al. (Only for new nonprovisional applications under 37 CFR 1.53(b) Express Mail Label No. EV331475804US Title: WATER SOLUBLE POLYHYDROXYAMINOETHER AND PROCESS FOR PREPARING THE SAME Commissi ner for Pat nts Mail Stop Patent Application P.O. Box 1450, Alexandria, VA 22313-1450 **APPLICATION ELEMENTS** ADDRESS TO: See MPEP chapter 600 concerning utility patent application contents. 1. X Fee Transmittal Form Microfiche Computer Program (Appendix) (Submit an original, and a duplicate for fee processing) Nucleotide and/or Amino Acid Sequence Submission 2. Specification [Total Pages 22] (if applicable, all necessary) (preferred arrangement set forth below) - Descriptive title of the Invention Computer Readable Copy - Cross References to Related Applications b. Paper Copy (identical to computer copy) - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix Statement verifying identity of above copies - Background of the Invention - Brief Summary of the Invention **ACCOMPANYING APPLICATION PARTS** - Brief Description of the Drawings (if filed) - Detailed Description Assignment Papers (cover sheet & document(s)) - Claim(s) 9. English Translation Document (if applicable) - Abstract of the Disclosure 3. Drawing(s) (35 USC 113) Total Sheets 10. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations 4. Declaration and Power of Attorney [Total Pages 11. **Preliminary Amendment** Newly executed (original or copy) 12. 🛛 Return Receipt Postcard (MPEP 503) (Should be specifically itemized) Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) 13. l l Certified Copy of Priority Document(s) [Note Box 5 below] (if foreign priority is claimed) **DELETION OF INVENTOR(S)** Associate Power of Attorney Signed statement attached deleting 15. Sealed envelope containing confidential information, inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). which Applicants may request to be expunged from the application file. 5. Incorporation By Reference 16. Authorization for payment of fees and Petition for The entire disclosure of the prior application identified in Extensions of Time. Box 18 is considered as being part of the disclosure of the accompanying application and is hereby incorporated by 17. Other: reference therein. 18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: Amend the specification by inserting before the first line, the sentence: "This application is a Continuation Divisional Continuation-in-part (CIP) of prior application No: \_\_/ \_." If this application claims the benefit of a provisional application, check this box This application claims the benefit of U.S. Provisional Application No. . filed 19. CORRESPONDENCE ADDRESS Customer Number 000109 Correspondence address below NAME **ADDRESS** CITY STATE ZIP CODE Please direct any telephonic communication regarding this application to the undersigned Attorney/Agent for Applicants: Nema Nemia C. Damocles Reg. No.: 28,368

Phone No.: <u>989-636-9928</u> Fax No.: <u>989-636-3237</u> Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attorney's Case No.: 63032

Application of: Terry W. Glass, William J. Harris, Jerry E. White, Mike Cavitt,

David C. Jammer, and Louis Willy Jr.

For: WATER-SOLUBLE POLYHYDROXYAMINOETHER AND PROCESS FOR

PREPARING THE SAME

No. of Drawing Sheets: 0

EXPRESS MAIL MAILING LABEL NO. EV331475804US
DATE OF DEPOSIT: SEPTEMBER 12, 2003

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Enclosed for filing is the above-identified application. Please charge the estimated fee to our Deposit Account No. 04-1512. An original and 2 copies of this sheet are enclosed.

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Respectfully submitted,

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THE DOW CHEMICAL COMPANY Intellectual Property P.O. Box 1967 Midland, MI 48641-1967

Date: September 12, 2003

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant (s): Terry W. Glass, William J. Harris, Jerry E. White, Mike Cavitt, David

C. Jammer, and Louis Willy Jr.

Attorney Docket No.: 63032

Group Art Unit: Unknown

Filed: Concurrently Herewith

Examiner: Unknown

For: WATER-SOLUBLE POLYHYDROXYAMINOETHER AND PROCESS FOR

PREPARING THE SAME

EXPRESS MAIL MAILING LABEL NO. EV331475804US DATE OF DEPOSIT: SEPTEMBER 12, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### AUTHORIZATION FOR PAYMENT OF FEES AND PETITIONS FOR EXTENSIONS OF TIME

Pursuant to 37 CFR 1.136(a)(3), please treat any concurrent or future reply in this application which requires a petition for an extension of time under 37 CFR 1.136(a)(1) as incorporating a petition for an extension of time for the appropriate length of time. Please charge any fees required under 37 CFR 1.17 in this application to Deposit Account No. 04-1512.

Respectfully submitted,

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Registration No.: 28,368 Phone: 989-636-9928

Date: September 12, 2003

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Midland, MI 48641-1967

#### WATER-SOLUBLE POLYHYDROXYAMINOETHER AND PROCESS FOR PREPARING THE SAME

#### Background of the Invention

This invention relates to water-soluble polymers.

Water-soluble polymers are used in many applications ranging from improved oil recovery, metal working fluid lubrication, and gellants in the food industry. It is known that the production of large amounts of water from oil and gas wells constitutes one of the major expenses in the overall recovery of hydrocarbons from a subterranean reservoir and that some water-soluble polymers reduce such water production. See, for example, Treybig et al. U.S. Patent 6,569,983 and Ahmed et al. U.S. Patent 6,051,670.

It is also well known that polymers and gelled or crosslinked water-soluble polymers have been used to alter the permeability of subterranean formations in order to enhance the effectiveness of water flooding operations. Generally, the polymers are injected into the formation and permeate into the regions having the highest water permeability. It is theorized that the polymer blocks the water permeable zones in the formation, thus reducing the amount of water produced with the oil. Existing polymers, such as polyacrylamides, do reduce water production but they also reduce oil production.

It would be desirable to provide water-soluble polymers that reduce water production but does not affect oil production.

#### Summary of the Invention

In a first aspect, the present invention is a water-soluble polymer comprising a copolyhydroxyaminoether having side-chains of polyalkylene oxides.

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In a second aspect, the present invention is a composition comprising an aqueous fluid and the water-soluble polymer of the first aspect.

In a third aspect, the present invention is a process for preparing the water soluble polymer of the first aspect which comprises reacting (1) a primary amine, a bis(secondary) diamine, or a mono-amine-functionalized poly(alkylene oxide) or mixtures thereof with (2) a diglycidyl ether, a diepoxy-functionalized poly(alkylene oxides) or mixtures thereof under conditions sufficient to cause the amine moieties to react with the epoxy moieties to form a polymer backbone having amine linkages, ether linkages and pendant hydroxyl moieties.

In a fourth aspect, the present invention is a process for preparing the water soluble polymer of the first aspect which comprises reacting an equivalent or excess of a difunctional amine or mixtures thereof with an excess or equivalent amount of a diglycidyl ether of a bisphenol or mixtures thereof, optionally in the presence of a monofunctional nucleophile which functions as a terminating agent and, optionally, in the presence of a catalyst and/or a solvent.

In a fifth aspect, the present invention is a process for preparing the water soluble polymer of the first aspect which comprises dissolving in an organic or non-organic solvent an amine selected from the group consisting of primary amine, a bis(secondary) diamine, or a mono-amine-functionalized poly(alkylene oxide) or mixtures thereof, adding to the amine solution an epoxide selected from the group consisting of a diglycidyl ether, a diepoxy-functionalized poly(alkylene oxides) or mixtures thereof in an amine hydrogen equivalent to epoxide equivalent ratio of from 1.01:1 to 1.1:1 under conditions sufficient to cause the amine moieties to react with the epoxy moieties to form a

polymer backbone having amine linkages, ether linkages and pendant hydroxyl moieties.

Other aspects of the present invention will become apparent from the following detailed description and claims.

#### Detailed Description of the Invention

Preferably, the copolyhydroxyaminoether is represented by the formula:

wherein R is hydrogen or alkyl; R<sup>1</sup> is an aromatic or substituted aromatic moiety; Y is an organic moiety that does not contain an epoxy group and Z is an organic moiety, optionally containing an epoxy group; x is 0-0.99; and n is 5-400; each A is individually an amino group represented by one of the formulas:

$$-N R^3$$
 $N-$  or  $-N-R^4-N R^3$ 

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wherein  $R^2$  is hydrocarbyl or substituted hydrocarbyl;  $R^3$  is  $C_2$ - $C_{10}$  hydrocarbylene or substituted hydrocarbylene;  $R^4$  is  $C_2$ - $C_{20}$  hydrocarbylene or substituted hydrocarbylene, wherein the substituent(s) is hydroxyl, cyano, halo, arlyloxy, alkylamido, arylamido, alkylcarbonyl, or arylcarbonyl; and each B is represented by the formula:

$$N$$
-CHCH<sub>2</sub>- $\left( OCHCH_2 \right)$ - $\left( OCHCH_2 \right)$ -

wherein  $R^5$  is hydrocarbyl;  $R^6$  is hydrogen, methyl, ethyl, hydrocarbyl, or mixtures thereof; and x is 0-0.99 when q is greater than 40; but less than 0.2 or greater than 0.8 when q is less than 40.

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For purposes of this invention, the term "hydrocarbyl" means a monovalent hydrocarbon such as alkyl, cycloalkyl, aralkyl, or aryl and the term "hydrocarbylene" means a divalent hydrocarbon such as alkylene, cycloalkylene, aralkylene or arylene.

In the more preferred embodiment of this invention, R is hydrogen;  $R^1$  is isopropylidenediphenylene, 1,4-phenylene, 1,3-phenylene, methylenediphenylene, thidodiphenylene, carbonyldiphenylene, or combinations thereof;  $R^2$  is methyl, ethyl, phenyl, benzyl, 2-hydroxyethyl, 3-hydroxypropyl, 2-hydroxypropyl, 2-(acetamido)ethyl, or combinations thereof;  $R^3$  and  $R^4$  are independently ethylene, 1,2-propylene, 1,2-butylene, or combinations thereof; and  $R^5$  is  $C_1$ - $C_{20}$  alkyl.

In the most preferred embodiment of this invention, R<sup>1</sup> is isopropylidenediphenylene, R<sup>2</sup> is 2-hydroxyethyl; R<sup>5</sup> is hydrogen, methyl, ethyl, propyl, butyl, benzyl or combinations thereof; R<sup>6</sup> is a mixture of hydrogen and methyl; Y and Z are N-(2-hydroxyethyl)piperazinyl or bis(2-hydroxyethyl)amino, q is 20 - 50, and n is 10 - 25.

The water-soluble polymer can be recovered from the reaction mixture by conventional methods. For example, the reaction mixture containing the polymer and optional solvent can be diluted with a suitable solvent such as dimethylformamide, cooled to room temperature, and the polymer isolated by precipitation into a non-solvent. The precipitated polymer can then be purified by washing or multiple washings by the non-solvent. The polymer is collected by filtration, washed with a suitable non-solvent and then dried. The water-soluble polymer can also be recovered from solution by volatilization of the solvent by combination of temperature and vacuum.

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The difunctional amines which can be employed in the practice of the present invention include the bissecondary amines and primary amines.

The primary amines which can be employed in the practice of the present invention to prepare the polymers include aniline and substituted anilines, e.g., 4-(methylamido) aniline, 4-methylaniline, 4-methoxy-aniline, 4tert-butylaniline, 3,4-dimethoxyaniline, 3,4-dimethylaniline; alkylamines, and substituted alkyl amines, e.g., butylamine and benzylamine; and alkanol amines; e.g., 2-aminoethanol and 1-aminopropan-2-ol. Preferred primary amines are aniline, 4methoxyaniline, 4-tert-butylaniline, butylamine, and 2aminoethanol. The most preferred primary amine is 2aminoethanol.

The bis-secondary amines which can be employed in the practice of the present invention to prepare the polymers include piperazine and substituted piperazines, e.g., dimethylpiperazine and 2-methylamidopiperazine; bis(Nmethylamino) benzene, 1,2-bis(N-methylamino) ethane, and N,N'bis(2-hydroxyethyl)ethylenediamine. Preferred bis-secondary 20 amines are piperazine, dimethylpiperazine, and 1,2-bis(Nmethylamino )ethane. The most preferred bis-secondary amine is piperazine.

The amine-functionalized poly(alkylene oxides) which can be employed in the practice of the present 25 invention to prepare the polymers include those materials represented by the general formula:

$$H_2N$$
-CHC $H_2$ -O-CHC $H_2$ -OR<sup>5</sup>

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wherein  $R^6$  is hydrogen, methyl, ethyl, hydrocarbyl or mixtures thereof;  $R_5$  is hydrocarbyl and q is from about 1 to about 1000. Typical of amines of this class are the "M" series Jeffamine<sup>TM</sup> products manufactured by Huntsman. They are typically prepared by polymerizing ethylene oxide, propylene oxide, butylene oxide, and the like or mixtures thereof with aliphatic alcohol initiators and then subsequently converting the resulting terminal hydroxyl group to an amine moiety.

Epoxy-functionalized poly(alkylene oxides) can be employed also in the practice of the present invention to prepare the polymers, and they can be mixed with diglycidyl ethers of bisphenols. Suitable epoxy-functionalized poly(alkylene oxides) are those represented by the general formula:

wherein R₁ is hydrogen, methyl, or mixtures

thereof; and y is from about 1 to about 40. Typical of
epoxides of this class are the "700" series D.E.R.™ epoxy
resins manufactured by The Dow Chemical Company. They are
synthesized by polymerizing ethylene oxide, propylene oxide,
or mixtures thereof with hydroxide initiators and then

reacting the resulting poly(alkylene oxide) diol with
epichlorohydrin.

The diglycidyl ethers which can be employed in the practice of the present invention for preparing the polymers include 9,9-bis(4-hydroxyphenyl)fluorene, 4,4'-methylene bisphenol (bisphenol F), hydroquinone, resorcinol, 4,4'-sulfonyldiphenol, 4,4'-thiodiphenol, 4,4'-oxydiphenol, 4,4'-dihydroxybenzophenone, tetrabromoisopropylidenebisphenol, dihydroxy dinitrofluorenylidenediphenylene, 4,4'-biphenol,

4,4'-dihydroxybiphenylene oxide, bis(4-hydroxyphenyl)methane, .alpha.,.alpha.-bis(4-hydroxyphenyl)ethylbenzene, 2,6dihydroxynaphthalene and 4,4'-isopropylidene bisphenol (bisphenol A) and the diglycidyl ethers of the amide-5 containing bisphenols such as N, N'bis(hydroxyphenyl)alkylenedicarboxamides, N,N'bis(hydroxyphenyl)arylenedicarboxamides, bis(hydroxybenzamido)alkanes or bis(hydroxybenzamido)arenes, N-(hydroxyphenyl) hydroxybenzamides, 2,2-10 bis(hydroxyphenyl)acetamides, N,N'-bis(3hydroxyphenyl)glutaramide, N,N'-bis(3-hydroxyphenyl) adipamide, 1,2-bis(4-hydroxybenzamido)ethane, 1,3-bis(4hydroxybenzamide) benzene, N-(4-hydroxyphenyl)-4hydroxybenzamide, and 2,2-bis(4-hydroxyphenyl)-acetamide. The more preferred diglycidyl ethers are the diglycidyl 15 ethers of 9,9-bis(4-hydroxyphenyl)fluorene, hydroquinone, resorcinol, 4,4'-sulfonyldiphenol, 4,4'-thiodiphenol, 4,4'oxydiphenol, 4,4'-dihydroxybenzophenone, bisphenol F, tetrabromoisopropylidenebisphenol, dihydroxy 20 dinitrofluorenylidenediphenylene, 4,4'-biphenol, 4,4'dihydroxybiphenylene oxide, bis(4-hydroxyphenyl)methane, .alpha.,.alpha.-bis(4-hydroxyphenyl)ethyl-benzene, 2,6dihydroxynaphthalene and 4,4'-isopropylidene bisphenol (bisphenol A). The most preferred diglycidyl ethers are the diglycidyl ethers of 4,4'-isopropylidene bisphenol (bisphenol 25 A), 4,4'-sulfonyldiphenol, 4,4'-oxydiphenol, 4,4'dihydroxybenzophenone, 9,9-bis(4-hydroxy-phenyl)fluorene and bisphenol F.

The monofunctional nucleophiles which function as

terminating agents which can be employed in the practice of
the present invention include secondary amines, hydrogen
sulfide, ammonia, ammonium hydroxide, a hydroxyarene, an
aryloxide salt, a carboxylic acid, a carboxylic acid salt, a
mercaptan or a thiolate salt. Preferably, the hydroxyarene
is phenol, cresol, methoxyphenol, or 4-tert-butylphenol; the

aryloxide salt is sodium or potassium phenate; the carboxylic acid is acetic acid or benzoic acid; the carboxylic acid salt is sodium acetate, sodium benzoate, sodium ethylhexanoate, potassium acetate, potassium benzoate, potassium

5 ethylhexanoate, or calcium ethylhexanoate; the mercaptan is 3-mercapto-1,2-propanediol or benzenethiol; and the thiolate salt is sodium or potassium benzenethiolate.

Preferred catalysts include metal hydroxides, quaternary ammonium salts or quaternary phosphonium salts. Especially preferred catalysts include sodium hydroxide, potassium hydroxide, ammonium hydroxide, ethyltriphenylphosphonium acetate, tetrabutylammonium bromide and bis(triphenylphosphoranylidene)ammonium chloride.

The conditions at which the reaction is most 15 advantageously conducted are dependent on a variety of factors, including the specific reactants, solvent, and catalyst employed but, in general, the reaction is conducted under a non-oxidizing atmosphere such as a blanket of nitrogen, preferably at a temperature from about 40°C to about 190°C, more preferably at a temperature from about 50°C to 20 about 150°C. The reaction can be conducted neat (without solvent or other diluents). However in some cases, in order to ensure homogeneous reaction mixtures at such temperatures, it can be desirable to use inert organic solvents or water as 25 solvent for the reactants. Examples of suitable solvents include dipropylene glycol methyl ether, available commercially as Dowanol™ DPM, a product of The Dow Chemical Company, and the ethers or hydroxy ethers such as diglyme, triglyme, diethylene glycol ethyl ether, diethylene glycol methyl ether, dipropylene glycol methyl ether, propylene glycol phenyl ether, propylene glycol methyl ether and tripropylene glycol methyl ether as well as aprotic amide solvents like 1-methyl-2-pyrrolidinone, N,Ndimethylacetamide, and mixtures thereof.

It is most preferred that the polyalkylene oxide chain be rich in ethylene oxide relative to propylene oxide. The length of the polyalkylene side-chain can be from 1 alkylene oxide units to 1000 alkylene oxide units, preferably from 2 alkylene oxide units to 500 alkylene oxide units, more preferably from 5 alkylene oxide units to 250 alkylene oxide units and, most preferably, from 10 alkylene oxide units to 100 alkylene oxide units.

Preferably, the copolyhydroxyaminoether has a

molecular weight of from about 1000 to about 500,000, more
preferably from about 2000 to about 250,000 and, most
preferably, from about 5000 to about 100,000. The copolymer
molecular weight can be controlled by either offstoichiometry of the N-H to epoxy ratio or by introduction of
monofunctional terminating agents, described previously, at
the start of the polymerization process or added during or at
the end of the polymerization process.

Advantageously, the polyalkylene oxide containing polymer repeat units is used in an amount of from about 1 to about 99 mole %, more preferably, in an amount of from about 1 to about 25 mole%.

Preferably, the copolyhydroxyaminoethers have glass transition temperatures of from about (-)60°C to about 150°C.

exhibit a cloud point or lower critical solution temperature (LCST), such that an aqueous solution of copolyhydroxyaminoethers flow at some temperature below the boiling point of water, preferably room temperature, and becomes more viscous and/or gels with the possible optical transition from clear-to-hazy/opaque/turbid at more elevated temperatures. The term cloud point is a term that can be used to describe the optical transition. As used herein, the term "LCST" describes the temperature at which the polymer solution experiences a phase transition going from one phase

(homogeneous solution) to at least a two-phase system (a polymer rich phase and a more solvent rich phase) as the solution temperature increases. The cloud point or LCST can be changed by the addition of salts, acids, or bases to the aqueous solutions of polyhydroxyaminoethers. The cloud point or LCST can also be changed as a function of concentration of polyhydroxyaminoether in aqueous solutions as well as the molecular weight of the polyhydroxyaminoether.

The following working examples are given to

illustrate the invention and should not be construed as
limiting its scope. Unless otherwise indicated, all parts
and percentages are by weight.

The following materials are used in the Examples:

D.E.R. $^{TM}$  332 A high purity bisphenol A diglycidyl ether manufactured by THE DOW CHEMICAL COMPANY.

JEFFAMINE<sup>TM</sup> XTJ506 A polyoxyalkylenemonoamine with a propylene oxide/ethylene oxide ratio of ~3/19 and a molecular weight of ~1000 manufactured by Huntsman.

JEFFAMINE™ M2070 A polyoxyalkylenemonoamine with 20 a propylene oxide/ethylene oxide ratio of ~10/32 and a molecular weight of ~2000 and manufactured by Huntsman.

EXAMPLE 1 SOLUTION, D.E.R. 332/MEA/JEFFAMINE XTJ506,

100/80/20 (m/m/m), DP~15, hydroxyethylpiperazine)

Into a 1 L resin kettle is loaded D.E.R. 332

(180.00 grams, EEW 171), JEFFAMINE XTJ 506 (101.75 grams, Mn ~1030), ethanolamine (24.10 grams), 1-(2-hydroxethyl)piperazine (8.60 grams), and N,N-dimethylacetamide, anhydrous (250 mL). Stirred reaction mixture under positive nitrogen is initially warmed to ~45°C.

When initial exotherm subsides, reaction setpoint is raised to 75°C and after temperature rise stabilizes, setpoint is raised to 140°C and held at that temperature for ~1 hour. Reaction mixture is cooled with N,N-dimethylacetamide

subsequently removed under vacuum at ~95°C. Product has an inherent viscosity of 0.18 dL/g (N,N-dimethylformamide, 30.0°C, 0.5 g/dL). Half-height glass transition by DSC at 10°C/min heating rate is 6°C. A 20 wt% solution of the product in water is prepared that is of low viscosity and essentially clear at room temperature; at ~50°C the solution becomes translucent/opaque white and a soft-gel of high viscosity; when solution is cooled to room temperature it once again becomes of low viscosity and essentially clear.

# EXAMPLE 2 SOLUTION, D.E.R. 332/MEA/JEFFAMINE XTJ506, 100/85/15 (m/m/m), DP~25, hydroxyethylpiperazine

Into a 1 L resin kettle is loaded D.E.R. 332 (76.00 grams, EEW 171), JEFFAMINE XTJ 506 (35.59 grams, Mn ~1031), ethanolamine (10.93 grams), 1-(2-hydroxethyl)piperazine (2.28 grams), and N,N-dimethylacetamide, anhydrous (150 mL). Stirred reaction mixture under positive nitrogen is initially warmed to ~45°C. When initial exotherm subsides, reaction setpoint is raised to  $75^{\circ}\text{C}$  and after temperature rise stabilizes, setpoint is raised to 100°C for less than & hour, setpoint raised to 140°C and held at that temperature for ~1.25 hour. Reaction mixture is cooled with N,Ndimethylacetamide subsequently removed under vacuum at ~95°C. Product has an inherent viscosity of 0.23 dL/g (N,Ndimethylformamide, 30.0°C, 0.5 g/dL). Half-height glass 25 transition by DSC at 10°C/min heating rate is 13°C. A 15 wt% solution of the product in water is prepared at room temperature that at ~50°C becomes a translucent, white gel.

### EXAMPLE 3 SOLUTION, D.E.R. 332/MEA/JEFFAMINE XTJ506, 100/85/15 (m/m/m)

Into a 100 mL resin kettle is loaded D.E.R. 332 (12.000 grams, EEW 171), Jeffamine XTJ 506 (5.426 grams, Mn ~1031), ethanolamine (1.822 grams), and N,N-dimethylacetamide, anhydrous (25 mL). Stirred reaction mixture under positive nitrogen is initially warmed to ~45°C.

When initial exotherm subsides, reaction setpoint is raised to 75°C and after temperature rise stabilizes, setpoint is raised to 100°C for less than 3/4 hour, setpoint raised to 140°C and held at that temperature for ~3.25 hour. Reaction mixture is held at 100°C overnight. Ethanolamine (0.026 g) in N,N-dimethylacetamide (2 mL) is added to kettle and after 30 minutes at 100°C, temperature is raised to 140°C for ~2 hours with subsequent cooling. N,N-dimethylacetamide is subsequently removed under vacuum at ~95°C. Product has an inherent viscosity of 0.33 dL/g (N,N-dimethylformamide, 30.0°C, 0.5 g/dL). Half-height glass transition by DSC at 10°C/min heating rate is 16°C. No terminator is used in the reaction. A 20 wt% solution of the product in water is prepared.

## 15 EXAMPLE 4 SOLUTION, D.E.R. 332/MEA/JEFFAMINE XTJ506, 100/88.75/11.25 (m/m/m)

Into a 100 mL resin kettle is loaded D.E.R. 332 (13.000 grams, EEW 171), Jeffamine XTJ 506 (4.409 grams, Mn ~1031), ethanolamine (2.061 grams), and N,Ndimethylacetamide, anhydrous (25 mL). Stirred reaction mixture under positive nitrogen is initially warmed to ~45°C. When initial exotherm subsides, reaction setpoint is raised to 75°C and after temperature rise stabilizes, setpoint is raised to 100°C for less than ~1/2 hour, setpoint raised to 140°C and held at that temperature for ~3 hour. Reaction mixture is held at 100°C overnight. Ethanolamine (0.022 g) in N, N-dimethylacetamide (2 mL) is added to kettle and temperature is raised to 140°C for ~1.5 hours. Product is precipitated in ice-water, water washed, and dried at ~55°C in a vacuum oven. Product has an inherent viscosity of 0.34 dL/g (N,N-dimethylformamide, 30.0°C, 0.5 g/dL). Half-height glass transition by DSC at 10°C/min heating rate is 31°C. No terminator is used in the reaction. The polymer produced is not water soluble.

# EXAMPLE 5 SOLUTION, D.E.R. 332/MEA/Jeffamine XTJ506, 100/92.5/7.5 (m/m/m))

Into a 100 mL resin kettle is loaded D.E.R. 332 (14.000 grams, EEW 171), Jeffamine XTJ 506 (3.165 grams, Mn  $\sim$  1031), ethanolamine (2.313 grams), and N,Ndimethylacetamide, anhydrous (25 mL). Stirred reaction mixture under positive nitrogen is initially warmed to ~45°C. When initial exotherm subsides, reaction setpoint is raised to 75°C and after temperature rise stabilizes, setpoint is raised to 100°C for ~1 hour, setpoint raised to 140°C and held at that temperature for ~3.25 hour. Reaction mixture is held at 100°C overnight. Ethanolamine (0.022 g) in N,Ndimethylacetamide (2 mL) is added to kettle and temperature is raised to  $140^{\circ}\text{C}$  for ~1 hours with subsequent addition of N,N-dimethylacetamide (10 mL) and cooling. Product is 15 precipitated in ice water, water washed, and dried under vacuum at ~55°C. Product has an inherent viscosity of 0.46 dL/g (N,N-dimethylformamide, 30.0°C, 0.5 g/dL). Half-height glass transition by DSC at 10°C/min heating rate is 46°C. 20 terminator is used in the reaction. The polymer produced is not water soluble.

# EXAMPLE 6 MELT, D.E.R. 332/MEA/Jeffamine XTJ506 100/80/20 (m/m/m) DP ~15, hydroxyethylpiperazine

Into a 1L resin kettle is loaded D.E.R. 332 (345.15)

g, EEW 172.7), Jeffamine XTJ 506 (189.24 g, Mn ~1010),
ethanolamine (45.78 g), and 1-(2-hydroxyethyl)piperazine
(16.27 g). Initial setpoint for the stirred reaction is 45°C
under positive N<sub>2</sub>. Reaction mixture starts self-heating with
cooling applied with temperature kept below ~140-150°C. After

temperature rise subsides, reaction is kept at 140°C for 30
minutes with product then cooled to room temperature.

Product has an inherent viscosity of 0.19 dL/g (N,Ndimethylformamide, 30.0°C, 0.5 g/dL). Half-height glass
transition by DSC at 10°C/min heating rate is 6°C. An aqueous
solution of product is prepared by adding 312.5 grams in

portions to a stirred 2 L resin kettle containing water (1193.1 g) and acetic acid (1.37 g) at  $\sim 40^{\circ}$ C. Aqueous sodium hydroxide (45.5 mL, 0.50 N) is subsequently added to the solution with a 10 mL water rinse.

# 5 Example 7 (MELT, D.E.R. 332/MEA/JEFFAMINE M2070 100/87.5/12.5 (m/m/m) DP ~13.7, diethanolamine)

Into a 1L resin kettle is loaded D.E.R. 332 (317.97 g, EEW 172.7), JEFFAMINE M2070 (223.39 g, Mn ~2083), ethanolamine (45.86 g), and diethanolamine (13.15 g). Initial setpoint for the stirred reaction is  $45^{\circ}$ C under positive N<sub>2</sub>. Reaction mixture starts self-heating with cooling applied with temperature kept below ~140-150°C. After temperature rise subsides, reaction is kept at  $140^{\circ}$ C for 30 minutes with product then cooled to room temperature. Product has an inherent viscosity of 0.17 dL/g (N.N-

Product has an inherent viscosity of 0.17 dL/g (N,N-dimethylformamide, 30.0°C, 0.5 g/dL). Half-height glass transition by DSC at 10°C/min heating rate is 2°C. An aqueous solution of product is prepared by adding 312.5 grams in portions to a stirred 2 L resin kettle containing water (1193.1 g) and acetic acid (1.37 g) at ~40°C. Aqueous sodium hydroxide (45.5 mL, 0.50 N) is subsequently added to the

solution with a 10 mL water rinse.

## EXAMPLE 8 SOLUTION, D.E.R. 332/MEA/JEFFAMINE M2070, 100/85/15 (M/M/M)

Into a 100 mL resin kettle is loaded D.E.R. 332 (10.000 grams, EEW 171), JEFFAMINE M2070 (8.932 grams, Mn ~2083), ethanolamine (1.484 grams), and N-methylpyrrolidinone, anhydrous (20 mL). Stirred reaction mixture under positive nitrogen is initially warmed to ~45°C.

When initial exotherm subsides, reaction setpoint is raised to 75°C and after temperature rise stabilizes, setpoint is raised to 100°C for ~2 hours, setpoint raised to 140°C and held at that temperature for ~3.25 hour. Reaction mixture is held at 100°C overnight. Ethanolamine (0.026 g) in N,N-

dimethylacetamide (2 mL) is added to kettle and after 30 minutes at 100°C, temperature is raised to 140°C for ~3.75 hours with subsequent cooling to 100°C overnight.

Ethanolamine (0.017 g) in 2 mL N-methylpyrrolidinone is added to kettle with temperature raised to 140°C for ~4.25 hours and cooled. Product does not precipitate in water. Product precipitate in cold isopropanol and is washed with cold and ambient isopropanol with product dried at ~110°C under vacuum. Product has an inherent viscosity of 0.75 dL/g (N,N-dimethylformamide, 30.0°C, 0.5 g/dL). Half-height glass transition by DSC at 10°C/min heating rate is ~15°C. No terminator is used in the reaction.

### EXAMPLE 9 WATER POLYMERIZATION, D.E.R. 332/MEA/JEFFAMINE M-2070 and DEA

Into a 30 gal stainless steel reactor is loaded 8137.5g water and mixing started at 100 RPM's. JEFFAMINE M-2070 (6437.1g, Mn ~1040), ethanolamine (1321.5 g) and diethanolamine (378.9 g) are added then heated to 54-63°C temperature. Pressure was 19.1-21.7 PSIA and mixing increased to 200 RPM's. D.E.R. 332 (9084 g, EEW 172.7) was added over a time period of 1 hour and 48 minutes via a 2 gal stainless steel (SS 316) charge pot. The reaction mixture was digested for 34 minutes and then water (133.8 lbs) was added over a 31 minute time. The resulting solution was mixed for 1 hr and 39 minutes then cooled to 25°C and filtered via a 25 micro Nomex bag filter system into polyethylene containers.

# EXAMPLE 10 Dowanol PM POLYMERIZATION, D.E.R. 332/MEA/JEFFAMINE M-2070 and DEA

Into a 30 gal stainless steel (SS 316) reactor is loaded 8137.5 g of Dowanol PM and mixing started at 100 RPM's. JEFFAMINE M-2070 (6437.1g, Mn ~1040), ethanolamine (1321.5 g) and diethanolamine (378.9 g) are added then heated to 87-91.6°C temperature. Pressure was 19.1-21.7 PSIA and

mixing increased to 200 RPM's. D.E.R 332 (9082 g, EEW 172.7) was added over a time period of 1 hour and 34 minutes via a 2 gal stainless steel charge pot. The reaction mixture was digested for 2 hr and 43 minutes at a temperature of 89-101°C and then water (133.7 lbs) was added over a 36 minute time. The resulting solution was mixed for 1 hr at 67.1-89°C and 150 RPM's then cooled to 26°C and filtered via a 25 micro Nomex bag filter system into polyethylene containers.

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#### WHAT IS CLAIMED IS:

- A water-soluble polymer comprising a copolyhydroxyaminoether having side-chains of polyalkylene oxides.
- 2. The polymer of Claim 1 represented by the formula:

wherein R is hydrogen or  $C_1-C_{20}$  alkyl;  $R^1$  is individually an aromatic or substituted aromatic moiety; Y is an organic moiety that does not contain an epoxy group and Z is an organic moiety, optionally containing an epoxy group; x is 0-0.99; and n is 5-400; each A is individually an amino group represented by one of the formulas:

$$-N R^3$$
 $N-$ 
or
 $-N-R^4-N R^2$ 
 $R^3$ 

wherein  $R^2$  is hydrocarbyl or substituted hydrocarbyl;  $R^3$  is  $C_2$ - $C_{10}$  hydrocarbylene or substituted hydrocarbylene;  $R^4$  is  $C_2$ - $C_{20}$  hydrocarbylene or substituted hydrocarbylene, wherein the substituent(s) is hydroxyl, cyano, halo, arlyloxy, alkylamido, arylamido, alkylcarbonyl, or arylcarbonyl; and each B is represented by the formula:

$$N$$
-CHCH<sub>2</sub>- $O$ CHCH<sub>2</sub> $-Q$ OR<sup>5</sup>

wherein  $R^5$  is hydrocarbyl; each  $R^6$  is individually hydrogen, methyl, ethyl, hydrocarbyl or combinations (change made for consistency) thereof; and x is 0-0.99 when q is greater than 40 but less than 0.2 or greater than 0.8 when q is less than 40.

- The polymer of Claim 2 wherein R is hydrogen; each R¹ is individually isopropylidenediphenylene, 1,4-phenylene, 1,3-phenylene, methylenediphenylene, thidodiphenylene, carbonyldiphenylene, or combinations
   thereof; each R² is individually methyl, ethyl, phenyl, benzyl, 2-hydroxyethyl, 3-hydroxypropyl, 2-hydroxypropyl, 2,3-dihydroxypropyl, 2-(acetamido)ethyl, or combinations thereof; R³ and R⁴ are individually (change made for consistency) ethylene, 1,2-propylene, 1,2-butylene, or combinations thereof; and R⁵ is C₁-C₂o alkyl; R⁶ is a mixture of hydrogen and methyl; each of Y and Z are individually bis(2-hydroxyethyl)amino or N-(2-hydroxyethyl)piperazinyl; q is 20 50, and n is 10 25.
- 4. The polymer of Claim 3 wherein  $R^1$  is isopropylidenediphenylene and  $R^2$  is 2-hydroxyethyl.
  - 5. The polymer of Claim 3 wherein each R<sup>5</sup> is individually hydrogen, methyl, ethyl, propyl, butyl, benzyl or combinations thereof; Y and Z are bis(2-hydroxyethyl)amino.
- 20 6. A process for preparing a water-soluble polymer which comprises (1) reacting an equivalent or excess of a difunctional amine or mixtures thereof with an excess or equivalent amount of a diglycidyl ether of a bisphenol or mixtures thereof, optionally in the presence of a 25 monofunctional nucleophile and optionally in the presence of a catalyst and/or a solvent; or (2) reacting an equivalent or excess of a difunctional amine or mixtures thereof with an excess or equivalent amount of a diglycidyl ether of a bisphenol or mixtures thereof, optionally in the presence of a monofunctional nucleophile which functions as a terminating 30 agent and, optionally, in the presence of a catalyst and/or a solvent; or (3) dissolving in an organic or non-organic solvent an amine selected from the group consisting of primary amine, a bis(secondary) diamine, or a mono-aminefunctionalized poly(alkylene oxide) or mixtures thereof, 35

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adding to the amine solution a diglycidyl ether in an amine hydrogen equivalent to epoxide equivalent ratio of from 0.9:1 to 1.2:1 under conditions sufficient to cause the amine moieties to react with the epoxy moieties to form a polymer backbone having amine linkages, ether linkages and pendant hydroxyl moieties.

- 7. The process of Claim 6 wherein the non-organic solvent is water and the monofunctional nucleophile is selected from the group consisting of a secondary amine, hydrogen sulfide, ammonia, ammonium hydroxide, a monofunctional phenol, an aryloxide salt, a carboxylic acid, a carboxylic acid salt, a mercaptan, and thiolate salt.
- 8. The process of Claim 6 wherein the difunctional amine is ethanolamine or a primary amine having the formula:

wherein  $R^5$ ,  $R^6$  and q are as defined above; the diglycidyl ether of a bisphenol is the diglycidyl ether of bisphenol A.

- 9. The process of Claim 6 wherein the monofunctional nucleophile is selected from the group consisting of diethanolamine, N-(2-hydroxyethyl)piperazine, piperadine, diethylamine, dipropylamine, and dibenzylamine.
- 10. The process of Claim 6 wherein the
  25 monofunctional nucleophile is selected from the group
  consisting of phenol, acetic acid and propanoic acid and the
  catalyst is selected from the group consisting of a
  phosphonium or ammonium salt.
- 11. The process of Claim 6 wherein the solvent is selected from the group consisting of 1-methyl-2-pyrrolidone, N,N-dimethylacetamide, water, diglyme, triglyme, diethylene

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glycol ethyl ether, diethylene glycol methyl ether, or propylene glycol methyl ether.

- 12. A water-soluble polymer prepared by the process of Claim 6.
- 13. A composition comprising an aqueous fluid and the water-soluble polymer of Claim 1.
  - 14. An aqueous solution comprising a polymer represented by the formula:

wherein each R is individually hydrogen or C<sub>1</sub>-C<sub>20</sub> alkyl; R<sup>1</sup> is an aromatic or substituted aromatic moiety; Y is an organic moiety that does not contain an epoxy group and Z is an organic moiety, optionally containing an epoxy group; x is 0-0.99; and n is 5-400; each A is individually an amino group represented by one of the formulas:

$$-N R^3$$
 $N-$  or  $-N-R^4-N R^3$ 

wherein  $R^2$  is hydrocarbyl or substituted hydrocarbyl;  $R^3$  is  $C_2$ - $C_{10}$  hydrocarbylene or substituted hydrocarbylene;  $R^4$  is  $C_2$ - $C_{20}$  hydrocarbylene or substituted hydrocarbylene; and each B is represented by the formula:

$$N$$
— $CHCH_2$ — $OCHCH_2$ — $OR^5$ 

wherein  $R^5$  is hydrocarbyl; each  $R^6$  is individually hydrogen, methyl, ethyl, hydrocarbyl or mixtures thereof; and x is 0-0.99 when q is greater than 40, but less than 0.2 or greater than 0.8 when q is less than 40.

- 15. The aqueous solution of Claim 14 wherein in the formula representing the polymer, R is hydrogen; each R¹ is individually isopropylidenediphenylene, 1,4-phenylene, 1,3-phenylene, methylenediphenylene, thidodiphenylene, carbonyldiphenylene, or combinations thereof; each R² is individually methyl, ethyl, phenyl, benzyl, 2-hydroxyethyl, 3-hydroxypropyl, 2-hydroxypropyl, 2,3-dihydroxypropyl, 2- (acetamido)ethyl, or combinations thereof; R³ and R⁴ are individually ethylene, 1,2-propylene, 1,2-butylene, or combinations thereof; and R⁵ is C₁-C₂o alkyl.
  - 16. The aqueous solution of Claim 14 wherein viscosity increases as temperature is increased.
- 17. A process which comprises dissolving the polymer of Claim 1 in water, the water optionally containing acids, bases, salts, solvents, or mixtures thereof.

#### **ABSTRACT**

A water soluble polymer comprising a copolyhydroxyaminoether having side-chains of polyalkylene oxides, an aqueous solution of said polymer and process for preparing the copolyhydroxyaminoether.